



## International Conference

# Compliance Across Asia - New Challenges and Chances for Practical Integrity

26-27 September 2019, Holiday Inn Bangkok Silom

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### Agenda

<b>Thursday, 26 September 2019</b> <b>Crystal Ballroom</b>	
8.30-9.00 am	<b>Registration</b>
9.00-9.15 am	<b>Welcoming words &amp; introductory remarks</b> <i>Henning Glaser</i> , Director, German-Southeast Asian Center of Excellence for Public Policy and Good Governance (CPG), Faculty of Law, Thammasat University, Bangkok <i>Bartosz Makowicz</i> , Professor, Director, Viadrina Compliance Center, European University Viadrina Frankfurt (Oder)
<b>Session 1: People and Nature as Stakeholders in Compliance</b>	
9.15-10.30 am	<b>Human Rights and Environmental Compliance in Cross-Border Investments: Risks and Approaches – A Case Study of the Mekong Basin</b> <i>Maureen Harris</i> , Southeast Asia Program Director, International Rivers, Bangkok  <b>Reporting vs. Certification: Presenting a Holistic Picture and Practicalities of Corporate and Supply Chain Human Rights Assessments</b> <i>James Kallman</i> , Co-Founder, Foundation for International Human Rights Reporting Standards (FIHRRST), CEO, Moores Rowland, Jakarta

	<p><b>Q &amp; A</b></p> <p>Moderation: <i>Lasse Schuldt</i>, CPG, Faculty of Law, Thammasat University</p>
10.30-11.00 am	<b>Coffee break</b>
<b>Session 2: Compliance in Cross-Cultural Perspectives</b>	
11.00 am – 12.30 pm	<p><b><i>Same, Same But Different: Comparing Leading Compliance Systems in Myanmar and Australia</i></b></p> <p><i>Rebecca Mohr</i>, General Counsel, Head of Compliance, City Holdings Group, Yangon, Myanmar</p> <p><b><i>European Perspectives on Responsible Business and Compliance</i></b></p> <p><i>Philipp Dupuis</i>, Minister-Counsellor, Head of the Economic and Trade Section, Delegation of the European Union to Thailand, Bangkok</p> <p><b><i>Compliance Crisis Management in Cross Border Perspective – from Raising a Concern to System Improvement</i></b></p> <p><i>Bartosz Makowicz</i>, Professor, Director, Viadrina Compliance Center, European University Viadrina Frankfurt (Oder)</p> <p><b>Q &amp; A</b></p> <p>Moderation: <i>Lasse Schuldt</i>, CPG, Faculty of Law, Thammasat University</p>
12.30-1.30 pm	<b>Lunch break</b>
<b>Session 3: Whistleblower Protection</b>	
1.30-3.00 pm	<p><b><i>Whistle-Blower Protection in Thailand</i></b></p> <p><i>Sirilaksana Khoman</i>, Chair, Economic Sector Corruption Prevention, National Anti-Corruption Commission Thailand</p> <p><b><i>Whistleblowing – Cultural Challenges and Opportunities</i></b></p> <p><i>Peerapan Tungsuwan</i>, Partner, Head of Compliance and Healthcare Groups, Baker McKenzie, Bangkok</p> <p><b><i>Whistleblowing – Cultural Challenges and Opportunities</i></b></p> <p><i>Wilson Ang</i>, Partner, Norton Rose Fulbright, Singapore</p>


	<p><b>Q &amp; A</b></p> <p>Moderation: <i>Jan Kliem</i>, CPG, Faculty of Law, Thammasat University</p>
3.00-3.30 pm	<b>Coffee break</b>
<b>Session 4: Emerging Compliance Trends</b>	
3.30-4.45 pm	<p><b>Cyber Risk: Loss &amp; Cost of Non-Compliance</b>  <i>Vorapong Sutanont</i>, Risk Services Partner, PwC Thailand</p> <p><b>Compliance Monitoring: A Health Check-Up Tool and Its Importance</b>  <i>Anchalee Anuchitanukul</i>, Head of Compliance, Novartis (Thailand) Ltd.</p> <p><b>Q &amp; A</b></p> <p>Moderation: <i>Jan Kliem</i>, CPG, Faculty of Law, Thammasat University</p>
4.45-5.00 pm	<b>Closing of the first conference day</b>


<p><b>Friday, 27 September 2019</b>  <b>Crystal Ballroom</b></p>	
<b>Session 5: Compliance Communication Across Borders</b>	
8.45-9.15 am	<b>Registration</b>
9.15-10.30 am	<p><b>Cross-Cultural Compliance Across Asia: Challenges and Opportunities</b>  <i>Pamela Kiesselbach</i>, Senior Consultant, Herbert Smith Freehills LLP, Hong Kong</p> <p><b>Cross-Cultural Compliance and Compliance Communication Across Borders</b>  <i>Ronald Goon</i>, Senior Director Business Practices &amp; Compliance, Johnson &amp; Johnson Pharmaceuticals, Singapore</p>

	<p><b>Q &amp; A</b></p> <p>Moderation: <i>Lasse Schuldt</i>, CPG, Faculty of Law, Thammasat University</p>
10.30-11.00 am	<b>Coffee break</b>
<b>Session 6: Reporting, Risk Assessment &amp; Third-Party Due Diligence</b>	
11.00 am - 12.30 pm	<p><b><i>Duty to Report Suspicious Property: Conceptual and Practical Aspects</i></b> <i>Simon NM Young</i>, Professor, Faculty of Law, The University of Hong Kong</p> <p><b><i>Practical Compliance Management: Risk Assessment and Third-Party Due Diligence</i></b> <i>Henry (Litong) Chen</i>, Senior Partner, Dentons, Shanghai, China</p> <p><b><i>Risk Assessment and Third-Party Due Diligence in Companies</i></b> <i>Mridul Mehta</i>, Sub-Regional Compliance Head, Fresenius Medical Care, Gurgaon, India</p> <p><b>Q &amp; A</b></p> <p>Moderation: <i>Lasse Schuldt</i>, CPG, Faculty of Law, Thammasat University</p>
12.30-1.30 pm	<b>Lunch break</b>
<b>Session 7: Unified Codes of Conduct</b>	
1.30-2.45 pm	<p><b><i>Unified Code of Conduct – Between Dreams and Reality</i></b> <i>Nuttapong Chantarasapt</i>, General Manager, Compliance Management and Legal, Mercedes-Benz (Thailand) Company Limited, Bangkok (TBC)</p> <p><b><i>Unified Code of Conduct: Implementation Challenges in India</i></b> <i>Shweta Bharti</i>, Senior Partner, Hammurabi &amp; Solomon, New Delhi, India</p> <p><b>Q &amp; A</b></p> <p>Moderation: <i>Duc Quang Ly</i>, CPG, Faculty of Law, Thammasat University</p>
2.45-3.15 pm	<b>Coffee break</b>

## Session 8: Selected Compliance Issues

3.15-4.30 pm	<p><b>Chinese Perspective on Compliance 4.0-Challenges of Digitalization</b> <i>Marsha (Xuexing) MA</i>, Vice General Manager, Compliance and Legal, Baoneng Motor Co.,Ltd., Shenzhen, China</p> <p><b>An Overview of Malaysian Competition Law &amp; Challenges in Enforcement</b> <i>Haniza Abdul Ghani</i>, Associate, Shearn Delamore &amp; Co., Kuala Lumpur, Malaysia</p> <p><b>Q &amp; A</b></p> <p>Moderation: <i>Duc Quang Ly</i>, CPG, Faculty of Law, Thammasat University</p>
4.30-4.45 pm	<b>Conference closing</b>

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